

PATENT

Docket No. 236472000720

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on September 5, 1997.

Sept. 5, 1997
Date

Michael J. Rodgers
Michael J. Rodgers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Michael C. Kiefer et al.

Serial No.: 08/320,017 - 157 - RC

Filing Date: 7 October 1994

For: NOVEL APOPTOSIS-MODULATING
PROTEINS, DNA ENCODING THE
PROTEINS AND METHODS OF USE
THEREOF

Examiner: Ray F. Ebert (1806 SPK)

Group Art Unit: 1806

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record. Reference numbers 3 and 4 were cited in an International Preliminary Examination Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
 - ☒ A fee is required. An authorization to charge the deposit account is provided below.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 03-1952.**

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

. This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above

information constitutes prior art to the subject invention.

Dated: September 4, 1997

Respectfully submitted,

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